

Agenda

Item #7



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commissioners

From: Jonathan Wayne, Executive Director

Date: November 19, 2012

Re: Request for Waiver of Penalty by the Washington County Republican Committee

The Washington County Republican Committee (the Committee) is a county Republican Party committee which was required by 21-A M.R.S.A. §1017-A(4-B)(A)(2) to file an 11-Day Pre-General Report, as it received or spent over \$1,500.00 this year. The 11-Day Pre-General Report was due by 11:59 p.m. on October 26, 2012 but was not filed until October 31, 2012. Based on the amount of financial activity in the reports, the numbers of days late, and the violation history, the Commission staff calculated the preliminary penalty to be \$114.45. The Commission staff sent a letter indicating a finding of violation and preliminary penalty to Mary Thompson, the treasurer for the Committee, on November 1, 2012. The Commission staff received a written request for a waiver of the preliminary penalty on November 5, 2012.

The Committee requests a waiver because:

- Historically, the Committee has been exempt from filing because of low contribution and expenditure amounts. The preliminary penalty would be disproportionate to the size and sophistication of the organization.

- The Committee's treasurer changed this year and this is the current treasurer's first election cycle, leaving her inexperienced with the filing requirements.

Staff Recommendation

The Commission staff appreciates the sincerity of the explanation offered by Mr. Gardner and the good faith of the treasurer, Ms. Thompson. Nevertheless, it was the Committee's responsibility to keep track of the filing deadline. So, we recommend against any waiver of the preliminary penalty of \$114.45. In our opinion, the amount is not out of proportion to the violation. The Committee received \$3,772 in contributions through October and made \$1,869 in expenditures, and was five days late in filing the report.

Thank you for your consideration of this memo.



Washington County Republican Party

Christopher M. Gardner – Chairman

220 King Street

Edmunds, Maine 04628

Telephone (207) 598-8704

November 5th, 2012

Jonathan Wayne, Executive Director
Maine Ethics Commission
135 State House Station
Augusta, ME 04333

Dear Director Wayne,

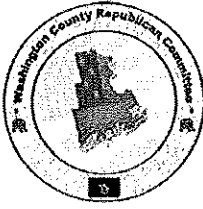
Let me begin by introducing myself as Christopher Gardner, Chairman of the Washington County Republican Committee (WCRC), a position that I have held for the past 4 election cycles. I write to you today in regards to the recent ruling of the Ethics Commission against the WCRC pertaining to our late filing of the 11-Day Pre-General Report. This action is summarized in a letter dated November 1st 2012 sent to our Treasurer Mary Thompson by Matthew Marett on behalf of the Commission.

We are extremely sorry that we did in fact miss the deadline as required and completely agree with the findings of the Commission. I write today not to dispute any matter of the findings but to only to humbly request that the Commission consider waiving the penalty due to the circumstances around the filing of this report as the financial impact is notable for our small group.

As I stated previously I have been Chair of the WCRC for several cycles and a member of it for longer. We have historically always been a relatively small group from one of Maine's smaller and less affluent counties. As such, although active, we have never really had much money to speak of by comparison to other like groups. In fact it is a rare occasion that we even met the threshold requiring us to file with the commission.

This election cycle is one with much attention as the Commission knows perhaps better than anyone. As such, even our group saw contributions increase and thus expenditures increase as well. We were happy to be able to increase our committee's work, but unfortunately at the February 24th 2012 meeting of our group, well over half way through this cycle, our then Treasurer Tova Hold choose to resign, due to her increased commitments outside of the group. Graciously committee member Mary Thompson stepped up and volunteered to fill in for the remainder of the cycle and as such was elected to serve as the group's Treasurer.

This change coincided with the start of a busy primary season that resulted in increased turnouts and activity over the next few months. Ultimately, as the general election approached our financial resources grew to the benefit of the increased activity and membership. A lot was asked of Mary in a very short period of time and it was a position that she had never previously served in. She was very diligent to try and get up speed quickly on the responsibilities of the group, one of which being filing compliance should it become necessary.



Washington County Republican Party

Christopher M. Gardner – Chairman

220 King Street

Edmunds, Maine 04628

Telephone (207) 598-8704

Despite her best efforts, and a failure on my part as Chair to double check, we did miss the filing deadline accidentally. In speaking to Mr. Marett today I found as I had expected in that Mary has been very forthcoming in trying to fix this and has stayed in contact with the Commission to make sure ultimately the right things were done. I believe all of this speaks to the fact that it was an honest oversight.

Seeing that the group has been forthcoming in discussing our mistake, the relatively small size of our group, and thus resources, along with the volunteering nature of Ms. Thompson's tenure as a gracious short term fill-in treasurer, we would ask the commission to consider the totality of the circumstances and grant a waiver of the penalty if at all possible.

Sincerely,

A handwritten signature in cursive script that reads "Christopher M. Gardner".

Christopher M. Gardner, Chair
Washington Co. Republican Committee

Cc: WCRC Executive Committee (via E-mail)
Mr. Matthew Marett



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

November 1, 2012

By Regular and Certified Mail

Ms. Mary Thompson, Treasurer
Washington County Republican Committee
434 Cape Split Road
Addison, ME 04606

Re: Penalty for Late Filing of 11-Day Pre-General Report

Dear Ms. Thompson,

The Commission staff has made a preliminary determination that the Washington County Republican Committee (the Committee) was late in filing its 11-Day Pre-General report. The report was due by 11:59 p.m. on October 26, 2012, but was not filed until October 31. Under the Commission's statutes, the late filing of a report triggers an enforcement process. Based on the amount of financial activity in the report, the number of calendar days the report was late, and the Committee's history of violations, the commission staff has determined that a penalty of \$114.45 is owed. (Please see attached penalty matrix for the calculation).

The Committee may request that the Commission waive the violation or penalty in whole or in part. Any request for a waiver must be made within 14 calendar days of your receipt of this notice (or attempted delivery by the U.S. Post Office). The request must contain a full explanation of the reasons the committee filed late. Upon receiving your request, the Commission staff will schedule your appeal for an upcoming Commission meeting. Please direct waiver requests to:

Jonathan Wayne, Executive Director
Maine Ethics Commission
135 State House Station
Augusta, ME 04333

The Commission may waive the penalty if it determines that the report was late due to mitigating circumstances, which are defined as (1) a valid emergency; (2) an error made by the Commission staff; (3) failure to receive notice of the filing deadline; or (4) relevant evidence that the Committee made a bona fide effort to file the report on time. Also, the Commission may waive the penalty if it is disproportionate to the level of experience of the person filing the report or the harm suffered by the public from the late disclosure. (21-A M.R.S.A. § 1020-A)

The staff requests that the Committee pay the preliminary penalty within 14 days of the date of this letter if it does not intend to request a waiver. Please use the payment receipt below when mailing payments. Payments may also be made online at www.maine.gov/ethics by clicking on the "penalty payment" link. Please call me at (207) 287-6221 or send me an email at Matthew.Marett@Maine.gov if you have any questions.

Sincerely,

Matthew Marett
PAC, Party & Lobbyist Registrar

Enclosure: Payment Receipt and PAC penalty matrix

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

FAX: (207) 287-6775

Payment Receipt

Mail payment to:

The Maine Ethics Commission
135 State House Station
Augusta, ME 04333.

Make checks payable to: "Treasurer, State of Maine."

Ms. Mary Thompson, Treasurer
Washington County Republican Committee
434 Cape Split Road
Addison, ME 04606

Violation: Late 11-Day Pre-General Report

Amount Due: \$114.45

Payment ID: 1939

Committee Name: Washington County Republican Committee Report Title: 11-Day Pre-General Report
 Due Date: October 26, 2012
 Previous Violation(s): n/a Filed Date: October 31, 2012

The penalty for late filing of a required report is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days the report is filed late, as follows:

For the first violation, 1%

For the second violation, 3%

For the third and each subsequent violation, 5%

A penalty begins to accrue at 11:59 p.m. on the day the report is due.

Penalty Example:		Your Penalty is calculated as follows:	
The treasurer files the PAC's report two (2) days late. The PAC has not had any previous late violations this biennium. The PAC reports a total of \$2,500 in contributions and \$1,500 in expenditures for the filing period. The penalty is			
\$2,500	Greater amount of the total contributions received or expenditures made during the filing period	Contributions / Expenditures:	\$2,288.75
		x	
		Percent Prescribed:	1%
X .01	Percent prescribed for first violation		\$22.89
		x	
\$25.00	One percent of total contributions	Number of days late:	5
X 2	Number of calendar days late		
		Total penalty accrued:	\$114.46
\$50.00	Total Penalty		

Any penalty of less than \$10 is waived.

Violations accumulate on reports with filing deadlines in a 2-year period that begins on January 1st of each even-numbered year. Waiver of a penalty does not nullify the finding of a violation.

A required report that is sent by certified or registered United States mail and postmarked at least 2 days before the deadline is not subject to penalty.

MAXIMUM PENALTIES

21-A M.R.S.A. Section 1062-A(3)

\$10,000 for Pre- and Post-Election Reports, Quarterly Reports and 24-Hour Reports, except that if the financial activity reported late exceeds \$50,000, the maximum penalty is one-fifth of the amount reported late.

Marett, Matthew

From: Christopher M. Gardner [director@portofeastport.org]
Sent: Monday, November 05, 2012 3:51 PM
To: Marett, Matthew; Benjamin Redman; Mary Thompson; violetjwillis@yahoo.com; Shane Curtis; cartera2@earthlink.net; ras.whiting@gmail.com
Subject: Waiver Request
Attachments: WACO GOP Ethics Commission Letter.pdf

Mr. Marett,

Thank you again for you time today on our telephone call. As discussed I have submitted the following letter to Jonathan Wayne for consideration. Could you please see that he receives this letter electronically and please advise him that the hard copy has been placed in the mail directly to him. I have cc'd my executive committee on this email as well.

All the Best
Chris Gardner
Washington County Republican Committee

(207)598-8704

Marett, Matthew

From: Marett, Matthew
Sent: Wednesday, October 31, 2012 1:10 PM
To: 'Mary Thompson'
Subject: RE: Re:

Ms. Thompson,

I will be happy to amend your report to change this information. The reminders I send out are generated from a separate database that have your correct address and email contact information.

Please let me know if there is anything else I can help with.

Best,

Matt Marett
Maine Ethics Commission
PAC, Party & Lobbyist Registrar
(207) 287-6221

From: Mary Thompson [<mailto:chinadish@earthlink.net>]
Sent: Wednesday, October 31, 2012 1:05 PM
To: Marett, Matthew
Subject: Re:

Matthew, again, the Treasurer is not Ben Redman as I've notified the Elections Committee several times. I also gave you my correct name and address today as well. PLEASE change it. This is probably why we were late. I got nothing from the committee for a reminder or any other notice. Any letters from you should go to me as the treasurer. Please change this report to:

Mary E. Thompson, Treasurer
434 Cape Split Road
Addison, Maine 04606

I am assuming the PTY590 still cranks out his name/address. Thank you. Mary Thompson

On 10/31/2012 11:17 AM, Marett, Matthew wrote:

11-Day Pre-General report as filed 10/31/12. Please let me know if you need anything else.

Best,

Matt Marett
Maine Ethics Commission
PAC, Party & Lobbyist Registrar

(207) 287-6221

Marett, Matthew

From: Marett, Matthew
Sent: Friday, October 26, 2012 11:36 AM
Subject: 11-Day Pre-General Report reminder

This is a general reminder that the 11-Day Pre-General report is due by 11:59 p.m. today, October 26, 2012, for all party committees that have raised \$1,500 or spent \$1,500 during the calendar year.

Your committee is only required to file this report if 1) it filed the July Quarterly report earlier this year, or 2) it has raised \$1,500 or spend \$1,500 during 2012.

If your committee has not raised \$1,500 or spent \$1,500 during the calendar year, no action is required.

The report can be filed by faxing it to (207) 287-6775. Reports may also be completed online by visiting www.maine campaign finance.com, clicking the "filer login" button on the right hand side of the page, and entering the committee's user code and password. Please let me know if you need a user code and password or would like more information about this option.

Please let me know if you have any questions.

Sincerely,

Matt Marett
Maine Ethics Commission
PAC, Party & Lobbyist Registrar
(207) 287-6221

Marett, Matthew

From: Marett, Matthew
Sent: Monday, October 15, 2012 10:34 AM
Subject: 11-Day Pre-General report reminder

This is a general reminder that all party committees that have raised \$1,500 or spent \$1,500 during the calendar year are required to file an 11-Day Pre-General report by 11:59 p.m. on October 26, 2012. If your committee has not raised \$1,500 or spent \$1,500 during the calendar year, no action is required.

If your committee filed a July Quarterly report this year, it is required to file an 11-Day Pre-General report, regardless of its activity since the July report.

The report can be filed in a couple of ways. A paper copy of the report can be submitted by either hand delivery, fax or mail. If you choose to mail the report, please remember that it must arrive at the Commission office on the reporting deadline. Mailed reports should be directed to 135 State House Station, Augusta, ME 04333. Hand delivered reports may be submitted at 45 Memorial Circle, 2nd floor, Augusta, ME 04330. Faxes may be sent to (207) 287-6775.

Reports may also be completed online by visiting www.mainecampaignfinance.com, clicking the "filer login" button on the right hand side of the page, and entering the committee's user code and password. Please let me know if you need a user code and password or would like more information about this option.

Please remember that your committee is only required to file this report if 1) it filed the July Quarterly report earlier this year, or 2) it has raised \$1,500 or spend \$1,500 during 2012. If your committee is not required to file this report, no further action is required on your part.

Please let me know if you have any questions.

Sincerely,

Matt Marett
Maine Ethics Commission
PAC, Party & Lobbyist Registrar
(207) 287-6221



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2012 CAMPAIGN FINANCE REPORT FOR PARTY COMMITTEES

COMMITTEE INFORMATION		
WASHINGTON COUNTY REPUBLICAN COMMITTEE 50 NORTHFIELD ROAD MARSHFIELD, ME 04654	TEL: (207)263-4830 FAX: E-MAIL: bk_redman@myfairpoint.net	
TREASURER		
BENJAMIN R REDMAN 50 NORTHFIELD ROAD MARSHFIELD ROAD, ME 04654	TEL: (207)263-4830 FAX: E-MAIL: bk_redman@myfairpoint.net	
TYPE OF REPORT	DUE DATE	REPORTING PERIOD
SEMI-ANNUAL - JULY	07/16/2012	1/1/2012 - 6/30/2012
NO FINANCIAL ACTIVITY IN THIS REPORTING PERIOD FOR SCHEDULES A1, B, C, D		
CERTIFICATION		
<p>I, BENJAMIN R REDMAN, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.</p> <p>REPORT FILED BY: BENJAMIN R REDMAN</p> <p>REPORT FILED ON: 10/31/2012</p> <p>IF THIS REPORT IS FILED BY AN AUTHORIZED AGENT OF THE COMMITTEE, THE TREASURER AND AGENT ARE LIABLE FOR ANY VIOLATIONS OF MAINE CAMPAIGN FINANCE LAW (21-A M.R.S.A. CHAPTER 13) AND THE COMMISSION'S RULES THAT MAY RESULT FROM THE FILING OF A FALSE OR INACCURATE REPORT.</p>		

UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. § 453).

WASHINGTON COUNTY REPUBLICAN COMMITTEE

SCHEDULE A ONLY

SCHEDULE A CASH CONTRIBUTIONS

- For contributors who gave more than \$200, the name, address, occupation, and employer must be reported. If "information requested" is listed instead of occupation and employer, the committee is waiting to receive that information.
- Cash contributions of \$200 or less can be added together and reported as a lump sum.
- Contributor Types

1 - Individuals	4 - Party Committees
2 - Commercial Sources	5 - Candidate Committees
3 - Political Action Committees	6 - Unitemized Contributions

DATE RECEIVED	CONTRIBUTOR	OCCUPATION AND EMPLOYER	TYPE	AMOUNT
01/20/2012	KEVIN RAYE 63 SUNSET LANE PERRY, ME 04631	BUSINESS OWNER, MAINE STATE Raye's Mustard	1	\$500.00
03/15/2012	UNITEMIZED CONTRIBUTIONS		6	\$102.00
04/15/2012	UNITEMIZED CONTRIBUTIONS		6	\$89.00
05/12/2012	UNITEMIZED CONTRIBUTIONS		6	\$64.25
06/15/2012	UNITEMIZED CONTRIBUTIONS		6	\$50.00
TOTAL CASH CONTRIBUTIONS ⇒				\$805.25

WASHINGTON COUNTY REPUBLICAN COMMITTEE

SCHEDULE B- 1 ONLY

**SCHEDULE B - 1
OPERATING EXPENDITURES**

EXPENDITURE TYPES	
CNS Campaign consultants	POL Polling and survey research
CON Contribution to other candidate, party, committee	POS Postage for U.S. Mail and mail box fees
EQP Equipment (office machines, furniture, cell phones, etc.)	PRO Other professional services
FND Fundraising events	PRT Print media ads only (newspapers, magazines, etc.)
FOD Food for campaign events, volunteers	RAD Radio ads, production costs
LIT Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL Campaign workers' salaries and personnel costs
MHS Mail house (all services purchased)	TRV Travel (fuel, mileage, lodging, etc.)
OFF Office rent, utilities, phone and internet services, supplies	TVN TV or cable ads, production costs
OTH Other	WEB Website design, registration, hosting, maintenance, etc.
PHO Phone banks, automated telephone calls	
<i>Only these expenditure types require a remark: CNS, OTH, PRO.</i>	

DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
02/12/2012	DAVID BURNS WHITING, ME 04691	Reimburse for caucus ad	PRT	\$19.00
02/12/2012	KIM PETTINGILL FOR EAST MACHIAS, ME 04630	Caucus	FOD	\$100.00
03/14/2012	MACHIAS SAVINGS BANK MACHIAS, MAINE, ME 04654	Bank charges, penalties	OTH	\$0.00
03/15/2012	MACHIAS SAVINGS BANK MACHIAS, MAINE, ME 04654	checks, bank charges,	OTH	\$49.39
TOTAL EXPENDITURES ➡				\$168.39

**SCHEDULE F
SUMMARY SCHEDULE**

CASH ACTIVITY		
RECEIPTS	TOTAL FOR THIS PERIOD	TOTAL FOR THE YEAR
1. CASH CONTRIBUTIONS (Schedule A)	\$805.25	\$805.25
2. OTHER CASH RECEIPTS (interest, etc.)	\$0.00	\$0.00
3. LOANS (Schedule C, new loans and additional amounts loaned)	\$0.00	\$0.00
4. TOTAL RECEIPTS (lines 1+2+3)	\$805.25	\$805.25
EXPENDITURES		
5. EXPENDITURES TO SUPPORT OR OPPOSE (Schedule B)	\$0.00	\$0.00
6. OPERATING EXPENDITURES (Schedule B-1)	\$168.39	\$168.39
7. LOAN REPAYMENTS (Schedule C)	\$0.00	\$0.00
8. TOTAL PAYMENTS (lines 5+6+7)	\$168.39	\$168.39
OTHER ACTIVITY		
	TOTAL FOR THIS PERIOD	TOTAL FOR THE YEAR
9. IN-KIND CONTRIBUTIONS (Schedule A-1)	\$0.00	\$0.00
10. TOTAL LOAN BALANCE AT END OF PERIOD (Schedule C)	\$0.00	
11. TOTAL UNPAID DEBTS AT END OF PERIOD (Schedule D)	\$0.00	



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2012 CAMPAIGN FINANCE REPORT

FOR PARTY COMMITTEES

COMMITTEE INFORMATION		
WASHINGTON COUNTY REPUBLICAN COMMITTEE 50 NORTHFIELD ROAD MARSHFIELD, ME 04654	TEL: (207)263-4830 FAX: E-MAIL: chinadish@earthlink.net	
TREASURER		
MARY THOMPSON 434 CAPE SPLIT ROAD ADDISON, ME 04606	TEL: FAX: E-MAIL: chinadish@earthlink.net	
TYPE OF REPORT	DUE DATE	REPORTING PERIOD
ELECTION - OCTOBER - 11-DAY	10/26/2012	7/1/2012 - 10/23/2012
NO FINANCIAL ACTIVITY IN THIS REPORTING PERIOD FOR SCHEDULES A1, B, C		
CERTIFICATION		
<p>I, MARY THOMPSON, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.</p> <p>REPORT FILED BY: MARY THOMPSON</p> <p>REPORT FILED ON: 10/31/2012</p> <p>IF THIS REPORT IS FILED BY AN AUTHORIZED AGENT OF THE COMMITTEE, THE TREASURER AND AGENT ARE LIABLE FOR ANY VIOLATIONS OF MAINE CAMPAIGN FINANCE LAW (21-A M.R.S.A. CHAPTER 13) AND THE COMMISSION'S RULES THAT MAY RESULT FROM THE FILING OF A FALSE OR INACCURATE REPORT.</p>		

UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. § 453).

WASHINGTON COUNTY REPUBLICAN COMMITTEE

SCHEDULE A ONLY

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$200, the name, address, occupation, and employer must be reported. If "information requested" is listed instead of occupation and employer, the committee is waiting to receive that information.
- Cash contributions of \$200 or less can be added together and reported as a lump sum.
- Contributor Types

1 - Individuals	4 - Party Committees
2 - Commercial Sources	5 - Candidate Committees
3 - Political Action Committees	6 - Unitemized Contributions

DATE RECEIVED	CONTRIBUTOR	OCCUPATION AND EMPLOYER	TYPE	AMOUNT
07/02/2012	UNITEMIZED CONTRIBUTIONS		6	\$391.50
08/10/2012	UNITEMIZED CONTRIBUTIONS		6	\$92.00
09/21/2012	MAINE STATE GOP BEN LOMBARD, TREASURER 9 HIGGINS STREET AUGUSTA, MAINE, ME 04330		4	\$1,000.00
TOTAL CASH CONTRIBUTIONS ⇒				\$1,483.50

WASHINGTON COUNTY REPUBLICAN COMMITTEE

SCHEDULE B- 1 ONLY

**SCHEDULE B - 1
OPERATING EXPENDITURES**

EXPENDITURE TYPES	
CNS Campaign consultants	POL Polling and survey research
CON Contribution to other candidate, party, committee	POS Postage for U.S. Mail and mail box fees
EQP Equipment (office machines, furniture, cell phones, etc.)	PRO Other professional services
FND Fundraising events	PRT Print media ads only (newspapers, magazines, etc.)
FOD Food for campaign events, volunteers	RAD Radio ads, production costs
LIT Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL Campaign workers' salaries and personnel costs
MHS Mail house (all services purchased)	TRV Travel (fuel, mileage, lodging, etc.)
OFF Office rent, utilities, phone and internet services, supplies	TVN TV or cable ads, production costs
OTH Other	WEB Website design, registration, hosting, maintenance, etc.
PHO Phone banks, automated telephone calls	
<i>Only these expenditure types require a remark: CNS, OTH, PRO.</i>	

DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
10/12/2012	VICTORY OFFICE COMMITTEE ADDISON, ME 04606	expenditures for events	LIT	\$104.55
07/13/2012	VICTORY OFFICE COMMITTEE ADDISON, ME 04606	Opening of Victory Office Food/Supplies	FOD	\$436.13
09/12/2012	VICTORY OFFICE COMMITTEE ADDISON, ME 04606	Opening of Victory Office Food/Supplies	FOD	\$309.94
TOTAL EXPENDITURES ⇨				\$850.62

WASHINGTON COUNTY REPUBLICAN COMMITTEE

SCHEDULE D ONLY

**SCHEDULE D
UNPAID DEBTS AND OBLIGATIONS**

- A debt or obligation is incurred if a committee places an order for a good or service without making a payment; makes a promise or agreement to pay for a good or service; signs a contract for a good or service; or receives delivery of a good or service for which the committee has not paid.
- This schedule is a list of all debts and obligations of the committee as of the end of this reporting period.

DATE OF OBLIGATION	CREDITOR	DESCRIPTION	AMOUNT
07/02/2012	VARNEY INSURANCE AGENCY C/O HENNESSEY MACHIAS MACHIAS, ME 04654	UTILITY BILL REPAYMENT FOR VICTORY CENTER SPACE ESTIMATED	\$400.00
TOTAL UNPAID DEBTS AND OBLIGATIONS ⇨			\$400.00

**SCHEDULE F
SUMMARY SCHEDULE**

CASH ACTIVITY		
RECEIPTS	TOTAL FOR THIS PERIOD	TOTAL FOR THE YEAR
1. CASH CONTRIBUTIONS (Schedule A)	\$1,483.50	\$3,772.25
2. OTHER CASH RECEIPTS (interest, etc.)	\$0.00	\$0.00
3. LOANS (Schedule C, new loans and additional amounts loaned)	\$0.00	\$0.00
4. TOTAL RECEIPTS (lines 1+2+3)	\$1,483.50	\$3,772.25
EXPENDITURES		
5. EXPENDITURES TO SUPPORT OR OPPOSE (Schedule B)	\$0.00	\$0.00
6. OPERATING EXPENDITURES (Schedule B-1)	\$850.62	\$1,869.63
7. LOAN REPAYMENTS (Schedule C)	\$0.00	\$0.00
8. TOTAL PAYMENTS (lines 5+6+7)	\$850.62	\$1,869.63
OTHER ACTIVITY		
	TOTAL FOR THIS PERIOD	TOTAL FOR THE YEAR
9. IN-KIND CONTRIBUTIONS (Schedule A-1)	\$0.00	\$0.00
10. TOTAL LOAN BALANCE AT END OF PERIOD (Schedule C)	\$0.00	
11. TOTAL UNPAID DEBTS AT END OF PERIOD (Schedule D)	\$400.00	

21-A M.R.S.A. §1020-A. FAILURE TO FILE ON TIME

1. Registration. A candidate that fails to register the name of a candidate, treasurer or political committee with the commission within the time allowed by section 1013-A, subsection 1 may be assessed a forfeiture of \$10. The commission shall determine whether a registration satisfies the requirements for timely filing under section 1013-A, subsection 1.

[1995, c. 483, §15 (NEW) .]

2. Campaign finance reports. A campaign finance report is not timely filed unless a properly signed or electronically submitted copy of the report, substantially conforming to the disclosure requirements of this subchapter, is received by the commission by 11:59 p.m. on the date it is due. Except as provided in subsection 7, the commission shall determine whether a report satisfies the requirements for timely filing. The commission may waive a penalty in whole or in part if the commission determines that the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff or the harm suffered by the public from the late disclosure. The commission may waive the penalty in whole or in part if the commission determines the failure to file a timely report was due to mitigating circumstances. For purposes of this section, "mitigating circumstances" means:

- A. A valid emergency determined by the commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part; [1999, c. 729, §5 (AMD) .]
- B. An error by the commission staff; [1999, c. 729, §5 (AMD) .]
- C. Failure to receive notice of the filing deadline; or [1999, c. 729, §5 (AMD) .]
- D. Other circumstances determined by the commission that warrant mitigation of the penalty, based upon relevant evidence presented that a bona fide effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service or interruptions in Internet service. [2009, c. 190, Pt. A, §13 (AMD) .]

[2009, c. 190, Pt. A, §13 (AMD) .]

3. Municipal campaign finance reports. Municipal campaign finance reports must be filed, subject to all the provisions of this subchapter, with the municipal clerk on forms prescribed by the Commission on Governmental Ethics and Election Practices. The municipal clerk shall send any notice of lateness required by subsection 6 and shall notify the commission of any late reports subject to a penalty.

[2011, c. 389, §22 (AMD); 2011, c. 389, §62 (AFF) .]

4. Basis for penalties.

[2001, c. 470, §7 (AMD); T. 21-A, §1020-A, sub-§4 (RP) .]

4-A. Basis for penalties. The penalty for late filing of a report required under this subchapter, except for accelerated campaign finance reports required pursuant to section 1017, subsection 3-B, is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days late, as follows:

- A. For the first violation, 1%; [2001, c. 714, Pt. PP, §1 (NEW); 2001, c. 714, Pt. PP, §2 (AFF) .]
- B. For the 2nd violation, 3%; and [2001, c. 714, Pt. PP, §1 (NEW); 2001, c. 714, Pt. PP, §2 (AFF) .]
- C. For the 3rd and subsequent violations, 5%. [2001, c. 714, Pt. PP, §1 (NEW); 2001, c. 714, Pt. PP, §2 (AFF) .]